



Computational Linguistics:

Part I: Finite-State Automata

(exercise session)

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- The usual:
 - Website is still at the same place:
 - <http://www.dfki.de/~plison/lectures/compling2010/index.html>
 - If you haven't done it yet, please subscribe to the mailing list!
- Regarding the timetable for the exercise session:
 - Seems to have an agreement among us to have it on Thursday, 16-18
 - No strong objections for the lecturers at the moment
 - **But:** the Computerlinguistik Kolloquium also takes place at that time!
 - Need to find a solution agreeable to everyone...
- Please don't wait for months to register the course in the HISPOS database (if you plan to take it, needless to say)
- Note regarding the exam: due to the participation of several lecturers in the course, It would be better if you could all take the written exam
 - But if you really need to have an oral exam for this course, let me know ASAP



- Short recap‘
- Correction of the exercises
- Advanced topics:
 - ~~Finite-state transducers for morphological parsing~~
 - Weighted finite-state automata
 - Cascading finite-state transducers



- **Short recap**
- Correction of the exercises
- Advanced topics:
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- In the last lecture, we presented finite-state automata and their algorithms
 - FSAs and regular expressions have the same expressive power: they both define a regular language, type-3 in the Chomsky hierarchy
 - FSAs can be automatically constructed from a given regular expression
 - FSAs can be deterministic or non-deterministic
- We also saw two algorithms used to improve the (runtime) efficiency of a finite-state automata:
 - FSA determinization, via subset construction
 - FSA minimization, via either equivalence classes, or Brzozowski
- Finite-state automata can be extended to finite-state transducers, which define *relations* between languages
- Due to their simplicity and efficiency, FSAs are pervasive in computational linguistics (morphology, parsing, dialogue management, etc.)



Chomsky Hierarchy of Languages

Regular languages
(type-3)

Context-free languages
(type-2)

Context-sensitive languages
(type-1)

Unconstrained languages
(type-0)

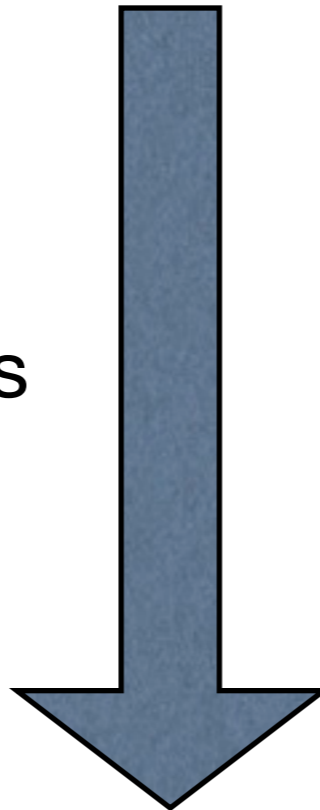
Hierarchy of Grammars & Automata

Regular PS grammar
Finite-state automata

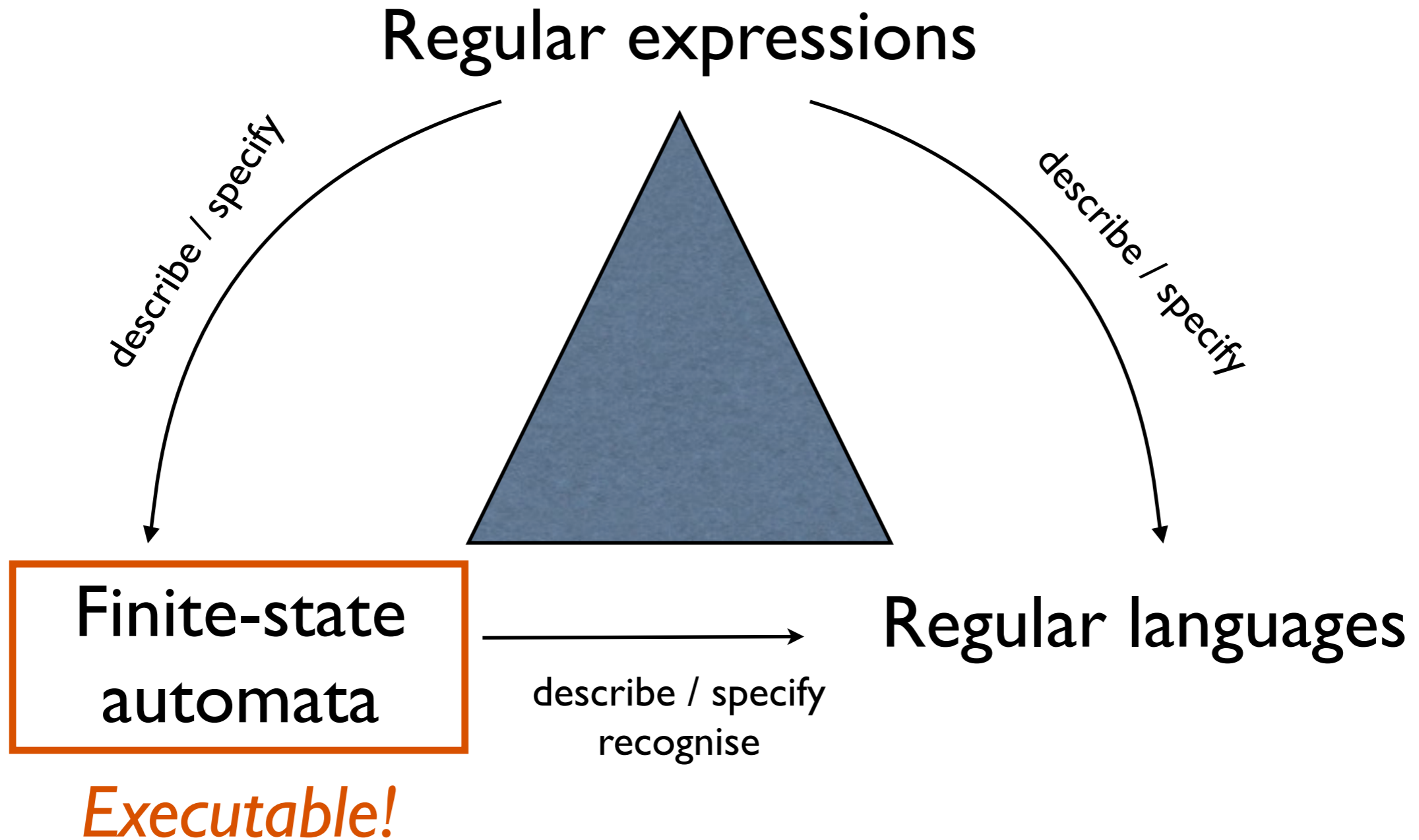
Context-free PS grammar
Push-down automata

Tree adjoining grammars
Linear bounded automata

General PS grammars
Turing machine



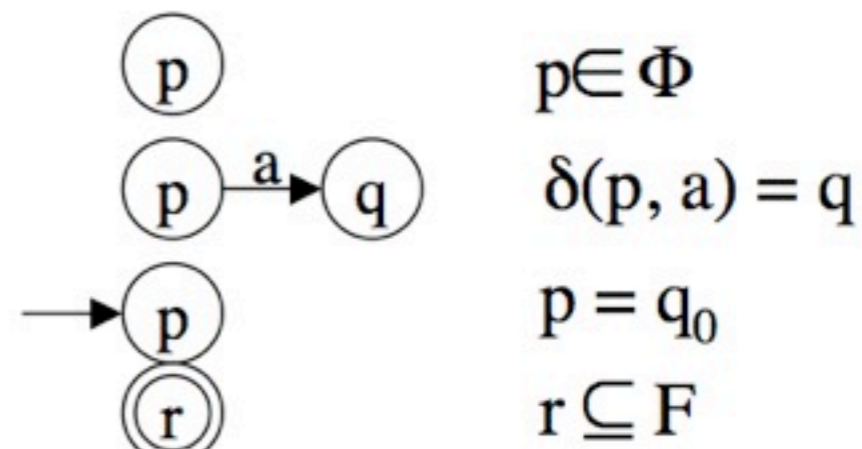
More expressivity
Less computational efficiency





- Grammars: generate (or recognize) languages
Automata: recognize (or generate) languages
- Finite-state automata recognize regular languages
- A finite automaton (FA) is a tuple $A = \langle \Phi, \Sigma, \delta, q_0, F \rangle$
 - Φ a finite non-empty set of states
 - Σ a finite alphabet of input letters
 - δ a transition function $\Phi \times \Sigma \rightarrow \Phi$
 - $q_0 \in \Phi$ the initial state
 - $F \subseteq \Phi$ the set of final (accepting) states
- Transition graph(s) (diagrams):

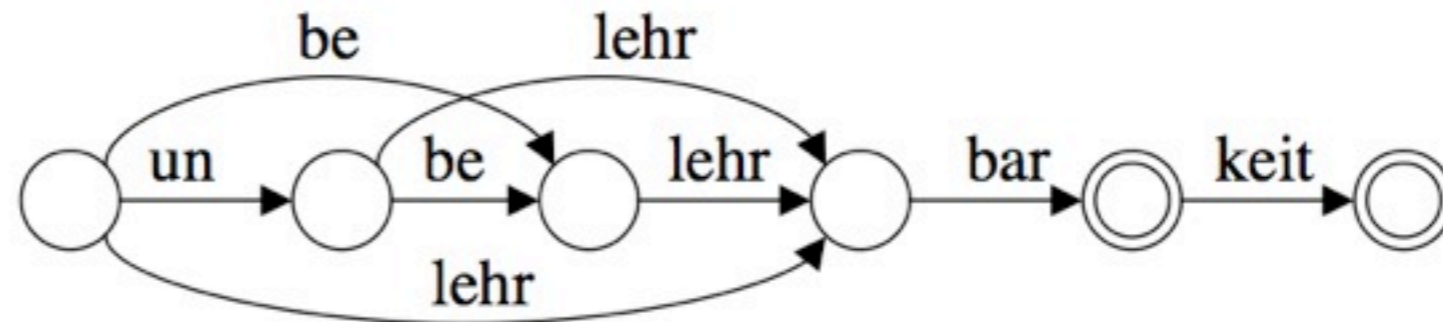
- states: circles
- transitions: directed arcs between circles
- initial state
- final state



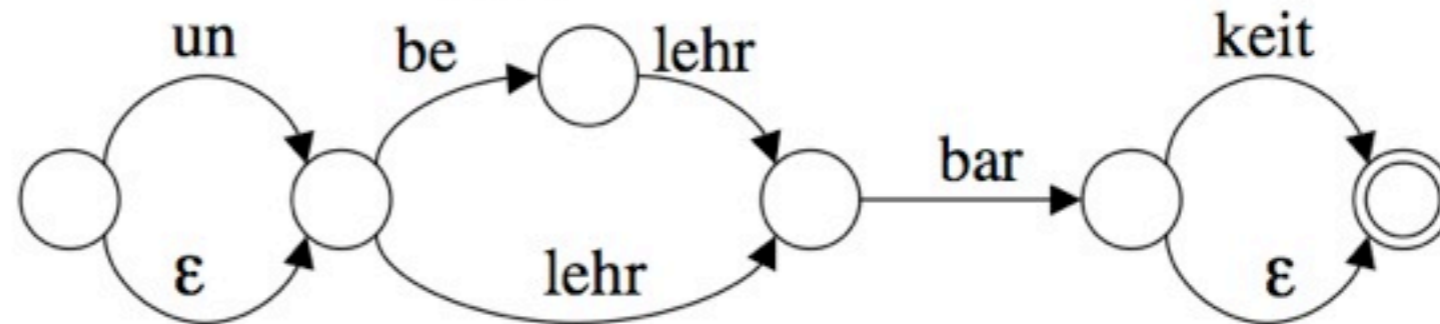
Multiple equivalent FSAs



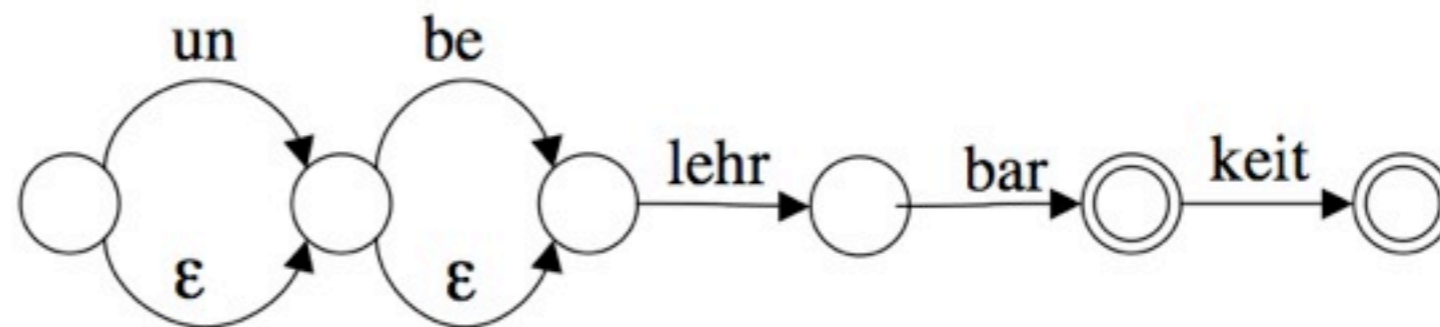
- FSA for the language $L_{\text{lehr}} = \{ \text{lehrbar, lehrbarkeit, belehrbar, belehrbarkeit, unbelehrbar, unbelehrbarkeit, unlehrbar, unlehrbarkeit} \}$
- DFSA for L_{lehr}



- Regular expression and FSA for L_{lehr} : $(\text{un} \mid \epsilon) (\text{be lehr} \mid \text{lehr}) \text{bar} (\text{keit} \mid \epsilon)$ (non-deterministic)



- Equivalent FSA (non-deterministic)





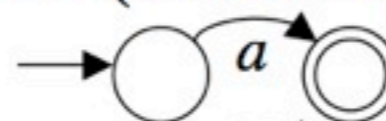
- FSAs for even mildly complex regular languages are best constructed from regular expressions!

- Every regular expression denotes a regular language

- $L(\epsilon) = \{\epsilon\}$
 - $L(a) = \{a\}$ for all $a \in \Sigma$
 - $L(\alpha\beta) = L(\alpha)L(\beta)$
 - $L(\alpha \cup \beta) = L(\alpha) \cup L(\beta)$
 - $L(\alpha^*) = L(\alpha)^*$

- Every regular expression translates to a FSA (Closure properties)

- An FSA for a (with $L(a) = \{a\}$), $a \in \Sigma$:

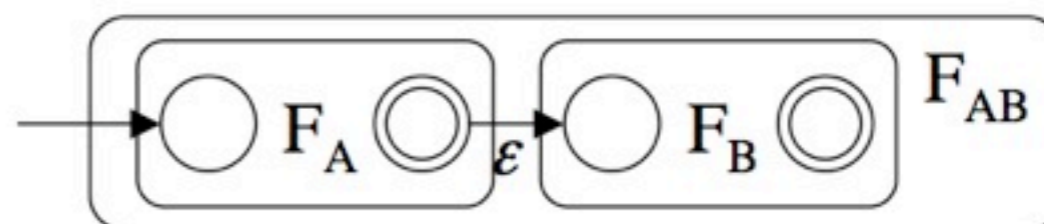


- An FSA for ϵ (with $L(\epsilon) = \{\epsilon\}$), $\epsilon \in \Sigma$:



- Concatenation of two FSAs F_A and F_B :

- $S_{AB} = S_A$ (S initial state)
 - $F_{AB} = F_B$ (F set of final states)
 - $\delta_{AB} = \delta_A \cup \delta_B \cup \{\delta(\langle q_i, \epsilon \rangle, q_j) \mid q_i \in F_A, q_j = S_B\}$





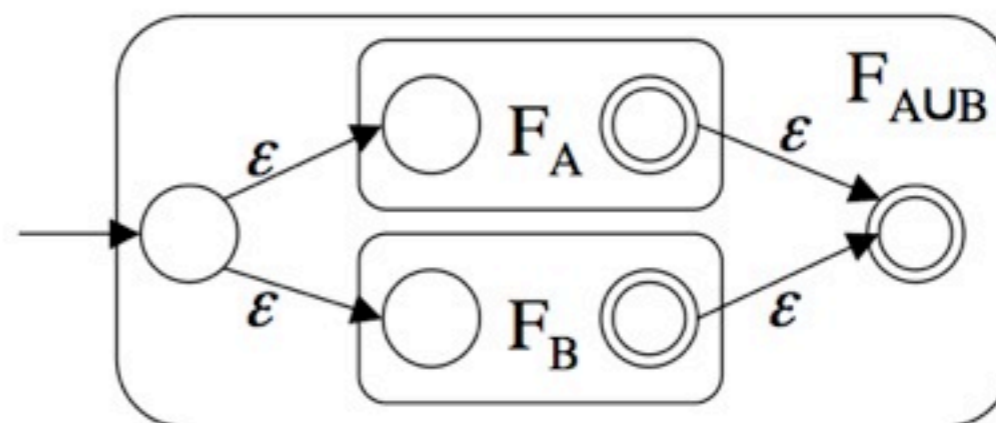
– union of two FSAs F_A and F_B :

- $S_{AB} = s_0$ (new state)

- $F_{AB} = \{ s_j \}$ (new state)

- $\delta_{AB} = \delta_A \cup \delta_B$

$$\cup \{ \delta(\langle q_0, \epsilon \rangle, q_z) \mid q_0 = S_{AB}, (q_z = S_A \text{ or } q_z = S_B) \}$$

$$\cup \{ \delta(\langle q_z, \epsilon \rangle, q_j) \mid (q_z \in F_A \text{ or } q_z \in F_B), q_j \in F_{AB} \}$$


– Kleene Star over an FSA F_A :

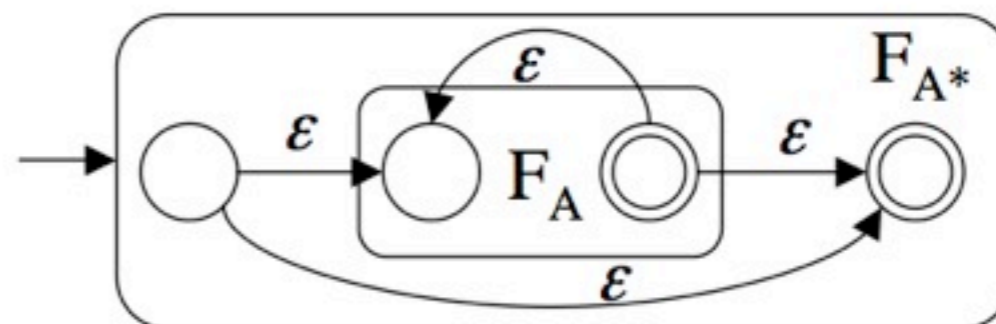
- $S_{A^*} = s_0$ (new state)

- $F_{A^*} = \{ q_j \}$ (new state)

- $\delta_{AB} = \delta_A \cup$

$$\cup \{ \delta(\langle q_j, \epsilon \rangle, q_z) \mid q_j \in F_A, q_z = S_A \}$$

$$\cup \{ \delta(\langle q_0, \epsilon \rangle, q_z) \mid q_0 = S_{A^*}, (q_z = S_A \text{ or } q_z = F_{A^*}) \}$$

$$\cup \{ \delta(\langle q_z, \epsilon \rangle, q_j) \mid q_z \in F_A, q_j \in F_{A^*} \}$$




- *Non-determinism*
 - Introduced by ϵ -transitions and/or
 - Transition being a *relation* Δ over $\Phi \times \Sigma^* \times \Phi$, i.e. a set of triples $\langle q_{\text{source}}, z, q_{\text{target}} \rangle$
Equivalently: Transition function δ maps to a *set of states*: $\delta: \Phi \times \Sigma \rightarrow \wp(\Phi)$
- A non-deterministic FSA (NFSA) is a tuple $A = \langle \Phi, \Sigma, \delta, q_0, F \rangle$
 - Φ a finite non-empty set of states
 - Σ a finite alphabet of input letters
 - δ a transition function $\Phi \times \Sigma^* \rightarrow \wp(\Phi)$ (or a finite relation over $\Phi \times \Sigma^* \times \Phi$)
 - $q_0 \in \Phi$ the initial state
 - $F \subseteq \Phi$ the set of final (accepting) states
- Adapted definitions for *transitions and acceptance* of a string by a NFSA
 - $(q, w) \vdash_A (q', w_{i+1})$ iff $w_i = zw_{i+1}$ for $z \in \Sigma^*$ and $q' \in \delta(q, z)$
 - An NDFSA (w/o ϵ) *accepts* a string w iff there is *some traversal* such that $(q_0, w) \vdash_A^* (q', \epsilon)$ and $q' \subseteq F$.
 - A string w is *rejected* by NDFSA A iff A does not accept w ,
i.e. *all configurations* of A for string w are rejecting configurations!



- Despite non-determinism, NFSAs are not more powerful than DFSAs: they accept the same class of languages: regular languages
- For every non-deterministic FSA there is deterministic FSA that accepts the same language (and vice versa)
 - The corresponding DFSA has in general more states, in which it models the sets of possible states the NFSAs could be in in a given traversal
- There is an algorithm (via subset construction) that allows conversion of an NFSAs to an equivalent DFSA

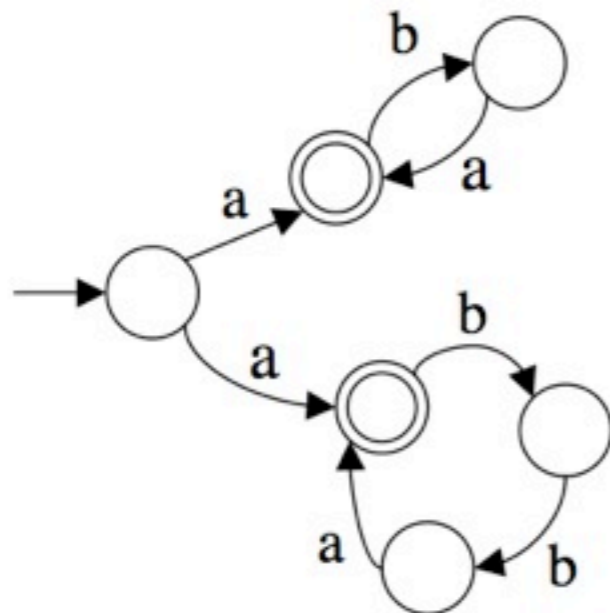
Efficiency considerations: an FSA is most efficient and compact iff

- It is a DFSA (efficiency) → Determinization of NFSAs
- It is minimal (compact encoding) → Minimization of FSAs



NFSA $A = \langle \Phi, \Sigma, \delta, q_0, F \rangle$

$A' = \langle \Phi', \Sigma, \delta', q_0', F' \rangle$



Subset construction:

Compute δ' from δ

for all subsets $S \subseteq \Phi$ and $a \in \Sigma$ s.th.

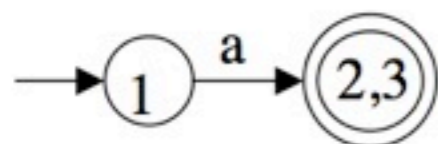
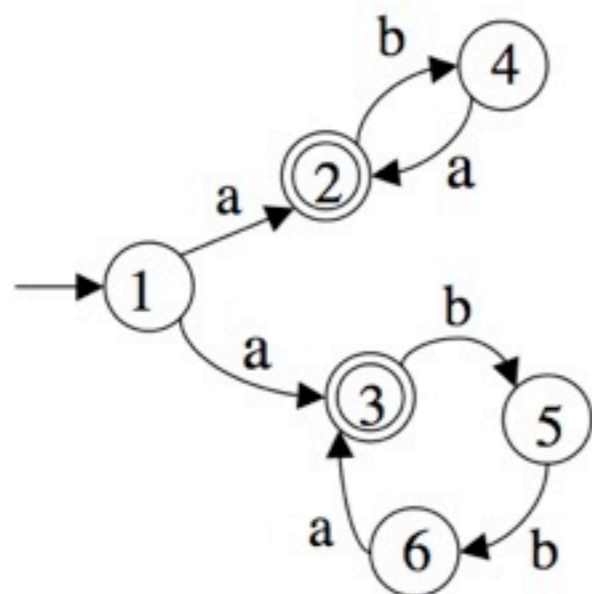
$$\delta'(S, a) = \{ s' \mid \exists s \in S \text{ s.th. } (s, a, s') \in \delta \}$$

$L(A) = a(ba)^* \cup a(bba)^*$



NFSA $A = \langle \Phi, \Sigma, \delta, q_0, F \rangle$

$A' = \langle \Phi', \Sigma, \delta', q_0', F' \rangle$



$\Phi' = \{ B \mid B \subseteq \{1,2,3,4,5,6\} \}$

$q_0' = \{1\}$,

$\delta'(\{1\}, a) = \{2,3\}$,

$\delta'(\{1\}, b) = \emptyset$,

$\delta'(\{2,3\}, a) = \emptyset$,

$\delta'(\{2,3\}, b) = \{4,5\}$,

$\delta'(\{4,5\}, a) = \{2\}$,

$\delta'(\{4,5\}, b) = \{6\}$,

$\delta'(\{2\}, a) = \emptyset$,

$\delta'(\{2\}, b) = \{4\}$,

$\delta'(\{6\}, a) = \{3\}$,

$\delta'(\{6\}, b) = \emptyset$,

$\delta'(\{4\}, a) = \{2\}$,

$\delta'(\{4\}, b) = \emptyset$,

$\delta'(\{3\}, a) = \emptyset$,

$\delta'(\{3\}, b) = \{5\}$,

$\delta'(\{5\}, a) = \emptyset$,

$\delta'(\{5\}, b) = \{6\}$

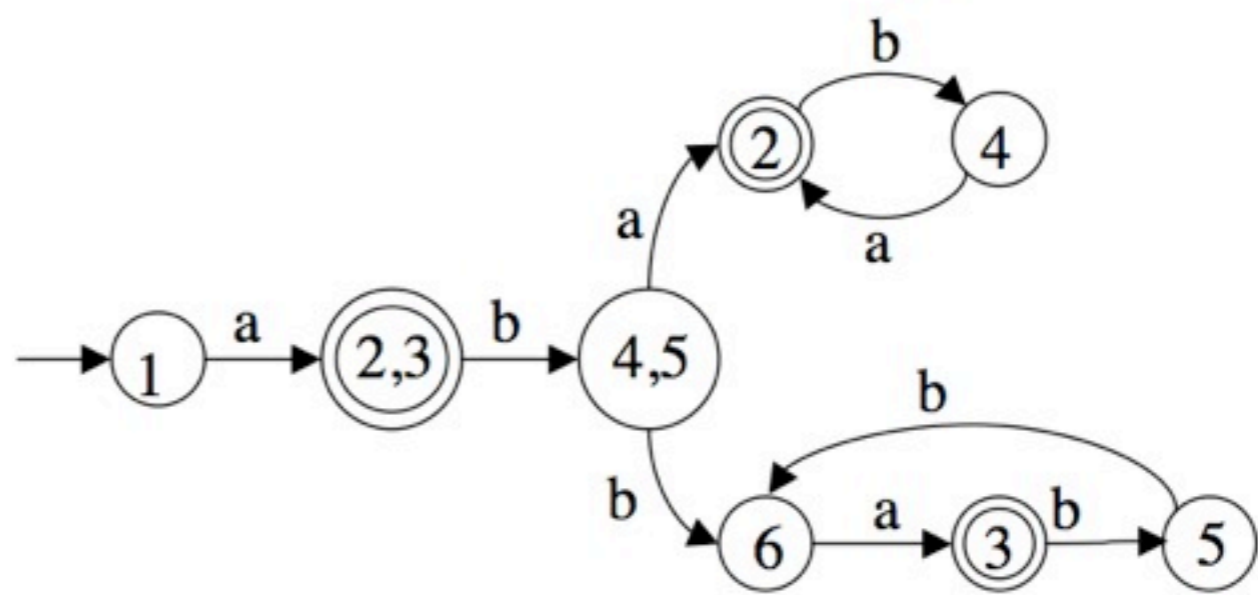
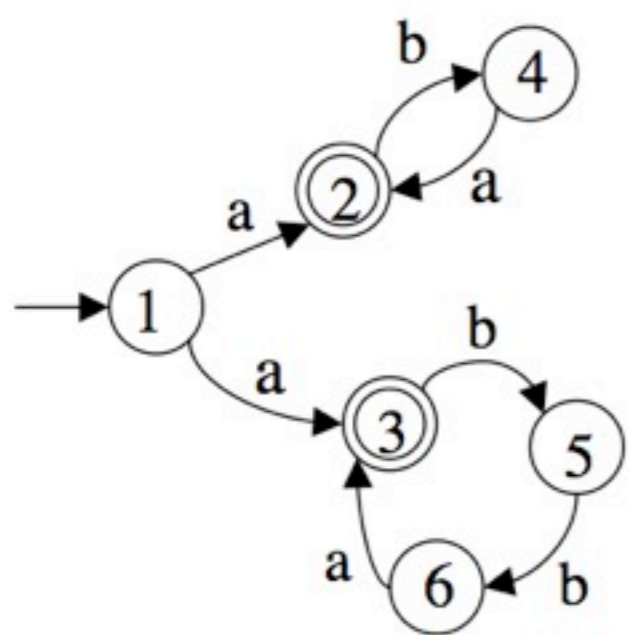
$F' = \{ \{2,3\}, \{2\}, \{3\} \}$

Determin. by subset construction

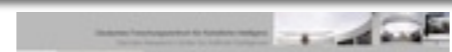


NFSA $A = \langle \Phi, \Sigma, \delta, q_0, F \rangle$

DFSA $A' = \langle \Phi', \Sigma, \delta', q_0', F' \rangle$



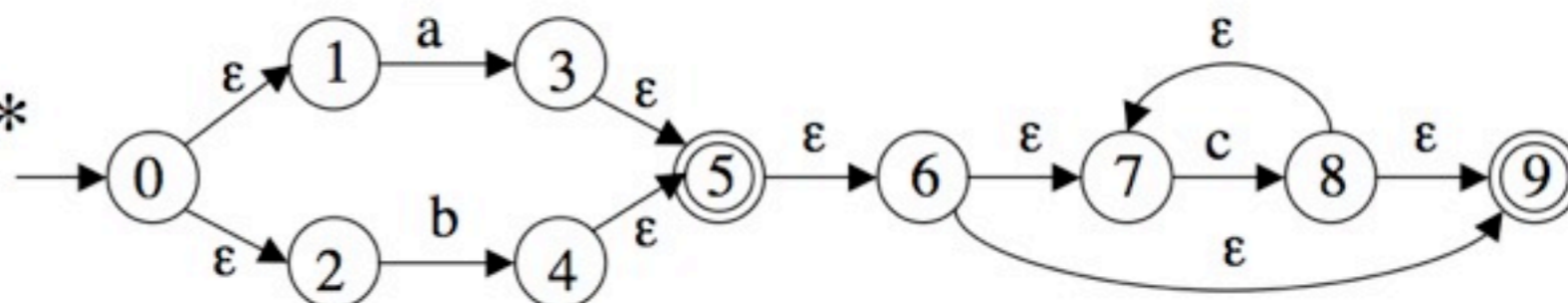
$$L(A) = L(A') = a(ba)^* \cup a(bba)^*$$



- Subset construction must account for ϵ -transitions
- ϵ -closure
 - The ϵ -closure of some state q consists of q as well as all states that can be reached from q through a sequence of ϵ -transitions
 - $q \in \epsilon\text{-closure}(q)$
 - If $r \in \epsilon\text{-closure}(q)$ and $(r, \epsilon, q') \in \delta$, then $q' \in \epsilon\text{-closure}(q)$,
 - ϵ -closure defined on sets of states
 - $\epsilon\text{-closure}(R) = \bigcup_{q \in R} \epsilon\text{-closure}(q)$ (with $R \subset \Phi$)
- Subset construction for ϵ -NFSAs
 - Compute δ' from δ for all subsets $S \subseteq \Phi$ and $a \in \Sigma$ s.th.
 $\delta'(S, a) = \{ s'' \mid \exists s \in S \text{ s.th. } (s, a, s') \in \delta \text{ and } s'' \in \epsilon\text{-closure}(s') \}$

Example

■ ϵ -NFSA for $(alb)c^*$



ϵ -closure for all $s \in \Phi$:

ϵ -closure(0) = {0, 1, 2},

ϵ -closure(1) = {1},

ϵ -closure(2) = {2},

ϵ -closure(3) = {3, 5, 6, 7, 9},

ϵ -closure(4) = {4, 5, 6, 7, 9},

ϵ -closure(5) = {5, 6, 7, 9},

ϵ -closure(6) = {6, 7, 9},

ϵ -closure(7) = {7},

ϵ -closure(8) = {8, 7, 9},

ϵ -closure(9) = {9}

Transition function over subsets

$\delta'(\{0\}, \epsilon) = \{0, 1, 2\}$,

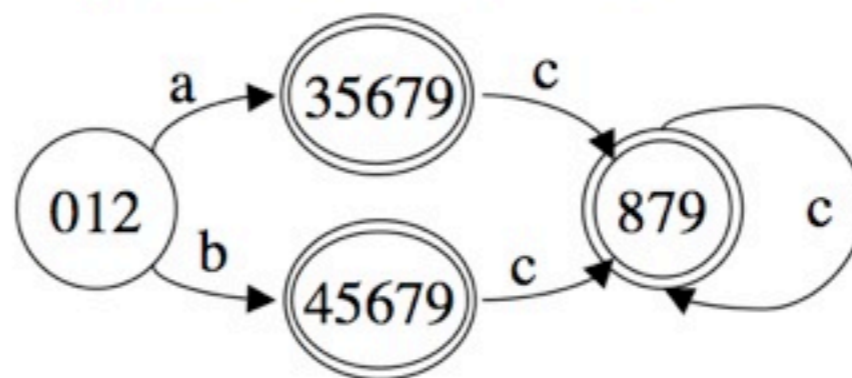
$\delta'(\{0, 1, 2\}, a) = \{3, 5, 6, 7, 9\}$,

$\delta'(\{0, 1, 2\}, b) = \{4, 5, 6, 7, 9\}$,

$\delta'(\{3, 5, 6, 7, 9\}, c) = \{8, 7, 9\}$,

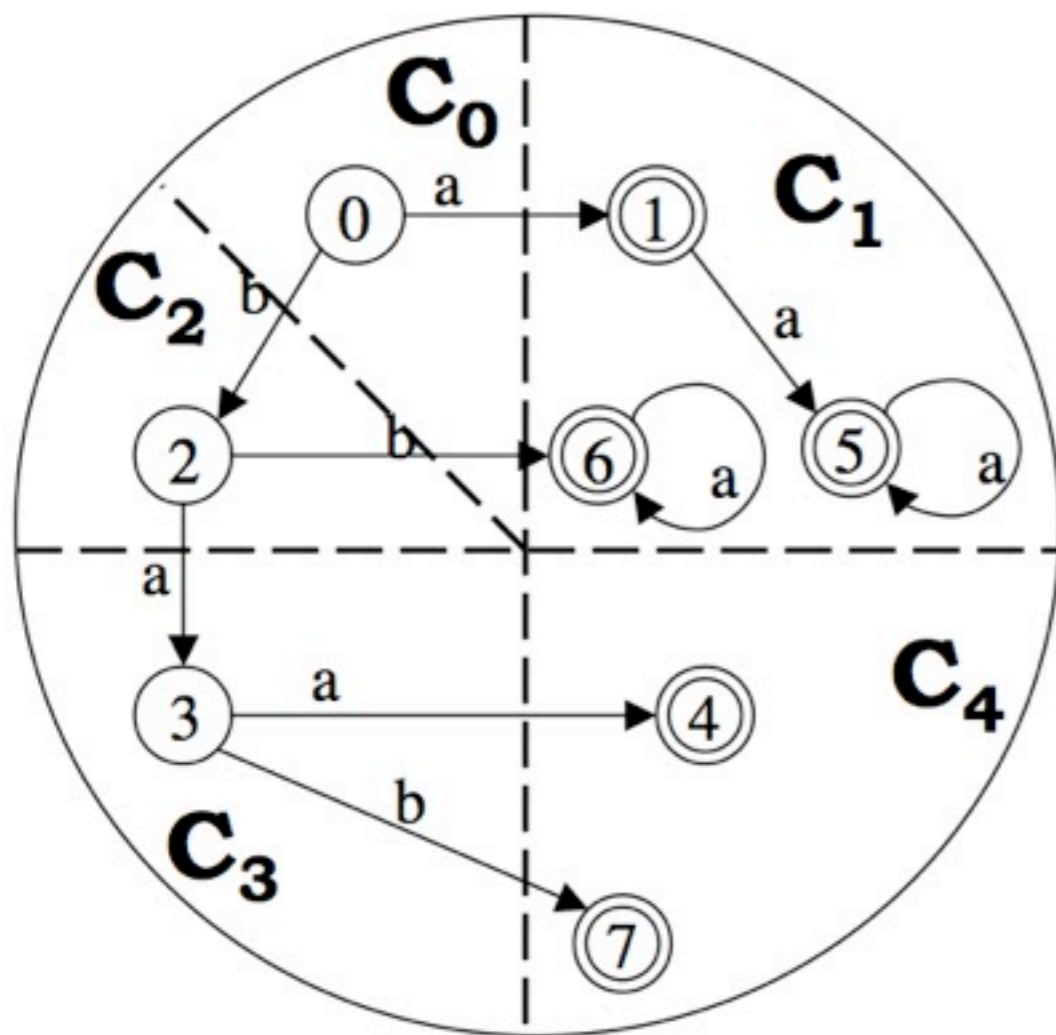
$\delta'(\{4, 5, 6, 7, 9\}, c) = \{8, 7, 9\}$,

$\delta'(\{8, 7, 9\}, c) = \{8, 7, 9\}$





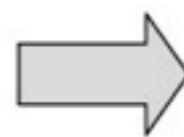
- A DFSA can be minimized if there are *pairs of states* $q, q' \in \Phi$ that are *equivalent*
 - Two states q, q' are *equivalent* iff they accept the *same right language*.
-
- Right language of a state:
 - For $A = \langle \Phi, \Sigma, \delta, q_0, F \rangle$ a DFSA, *the right language* $L^{\rightarrow}(q)$ of a state $q \in \Phi$ is the set of all strings accepted by A starting in state q :
$$L^{\rightarrow}(q) = \{w \in \Sigma^* \mid \delta^*(q, w) \in F\}$$
 - Note: $L^{\rightarrow}(q_0) = L(A)$
 - State equivalence:
 - For $A = \langle \Phi, \Sigma, \delta, q_0, F \rangle$ a DFSA, if $q, q' \in \Phi$, *q and q' are equivalent* ($q \equiv q'$) iff $L^{\rightarrow}(q) = L^{\rightarrow}(q')$
 - \equiv is an equivalence relation (i.e., reflexive, transitive and symmetric)
 - \equiv *partitions* the set of states Φ into a number of *disjoint sets* $Q_1 \dots Q_n$ of *equivalence classes* s.th. $\bigcup_{i=1..n} Q_i = \Phi$ and $q \equiv q'$ for all $q, q' \in Q_i$



All classes C_i consist of *equivalent states* $q_{j=i..n}$ that accept *identical right languages* $L^{\rightarrow}(q_j)$

Whenever two states q, q' belong to different classes, $L^{\rightarrow}(q) \neq L^{\rightarrow}(q')$

Equivalence classes on state set defined by \equiv



Minimization: elimination of equivalent states



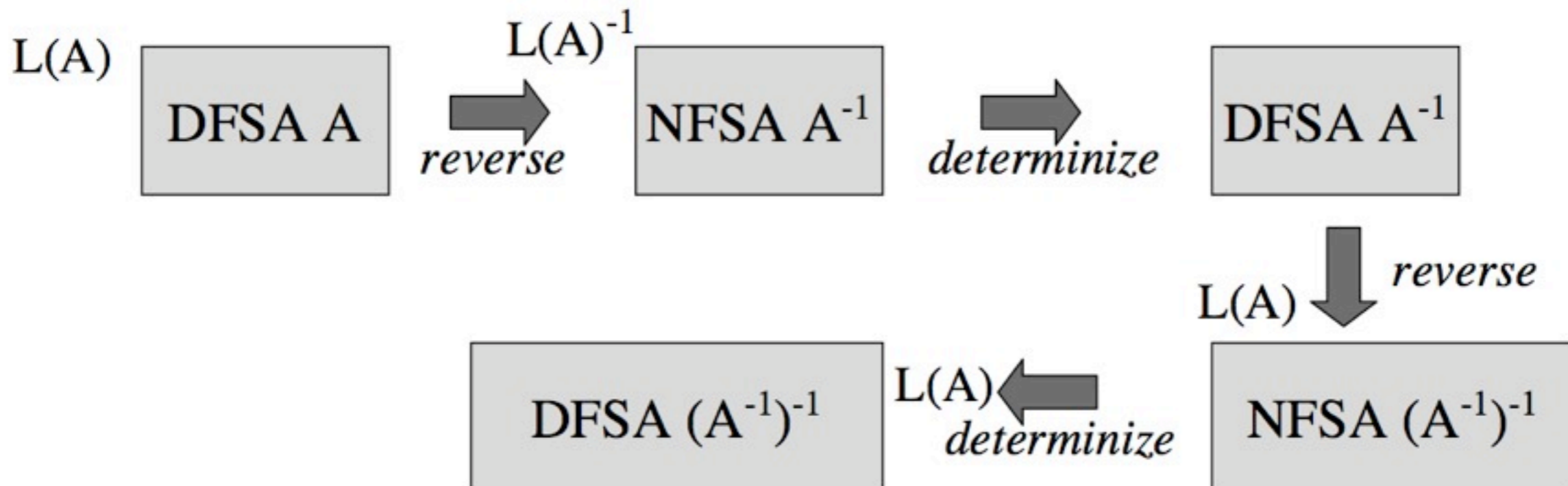
A DFSA $A = \langle \Phi, \Sigma, \delta, q_0, F \rangle$ that contains *equivalent states* q, q' can be transformed to a smaller, equivalent DFSA $A' = \langle \Phi', \Sigma, \delta', q_0, F' \rangle$ where

- $\Phi' = \Phi \setminus \{q'\}$, $F' = F \setminus \{q'\}$,
- δ' is like δ with all transitions to q' redirected to q : $\delta'(s,a) = q$ if $\delta(s,a) = q'$;
 $\delta'(s,a) = \delta(s,a)$ otherwise

- Two-step algorithm
 - Determine all pairs of equivalent states q, q'
 - Apply DFSA reduction until no such pair q, q' is left in the automaton
- *Minimality*
 - The resulting FSA is the smallest DFSA (in size of Φ) that accepts $L(A)$: we never merge different equivalence classes, so we obtain one state per class.
 - We cannot do any further reduction and still recognize $L(A)$.
 - As long as we have >1 state per class, we can do further reduction steps.
- A DFSA $A = \langle \Phi, \Sigma, \delta, q_0, F \rangle$ is *minimal* iff there is no pair of distinct but equivalent states $\in \Phi$, i.e. $\forall q, q' \in \Phi : q \equiv q' \Leftrightarrow q = q'$



Minimization by reversal and determinization



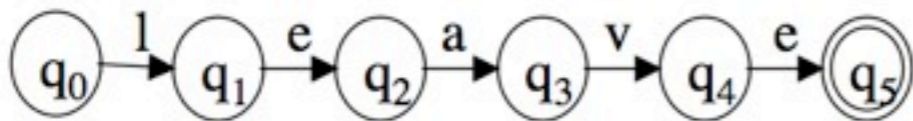
Reversal

- Final states of A^{-} : set of initial states of A
- Initial state of A^{-} : F of A
- $\delta^{-}(q,a) = \{p \in \Phi \mid \delta(p,a)=q\}$
- $L(A^{-1}) = L(A)^{-1}$



Automata

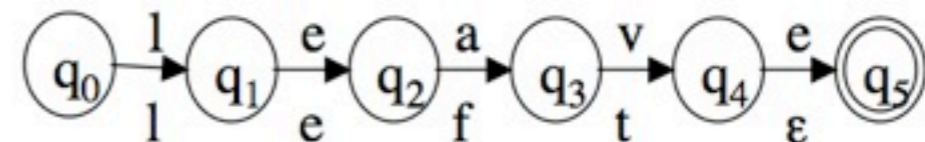
- recognition of an input string w



- define a *language*
- accept *strings*, with transitions defined for *symbols* $\in \Sigma$

Transducers

- recognition of an input string w
- *generation* of an output string w'



- define a *relation* between languages
- equivalent to FSAs that accept *pairs of strings*, with transitions defined for pairs of symbols $\langle x,y \rangle$
- operations: *replacement*
 - deletion $\langle a, \epsilon \rangle$, $a \in \Sigma - \{\epsilon\}$
 - insertion $\langle \epsilon, a \rangle$, $a \in \Sigma - \{\epsilon\}$
 - substitution $\langle a, b \rangle$, $a, b \in \Sigma$, $a \neq b$



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- **Correction of the exercises**
- Advanced topics:
 - ~~Finite-state transducers for morphological parsing~~
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1. Write a program for acceptance of a string by a DFSA.
Then extend it to a finite-state transducer that can translate a surface form to lemma + POS, or between upper and lower case.

2. Determinize the following NFSA by subset construction.
 $A_1 = \langle \{p, q, r, s\}, \{a, b\}, \delta_1, p, \{s\} \rangle$ where δ_1 is as follows:

δ_1	a	b
p	p,q	p
q	r	r
r	s	-
s	s	s

3. Construct an NFSA with ϵ -transitions from the regular expression $(alb)ca^*$, according to the construction principled for union, concatenation and kleene star. Then transform the NFSA to a DFSA by subset construction.
4. Find a minimal DFSA for the FSA $A = \langle \{A, \dots, E\}, \{0, 1\}, \delta_3, A, \{C, D, E\} \rangle$ (using the table filling algorithm by propagation).

δ_3	0	1
A	B	D
B	B	C
C	D	E
D	D	E
E	C	-



- Write a program for acceptance of a string by a DFSA. Then extend it to a finite-state transducer that can translate a surface form to lemma + POS, or between upper and lower case.

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δ_3	0	1
A	B	D
B	B	C
C	D	E
D	D	E
E	C	-

Small Python class for DFSA

```
class DFSA:
    states = []           # List of states
    startState = None    # Starting state
    endStates = []       # Ending states
    transFunction = {}   # Transition function (defined as a dictionary)

    .... # Initialisation functions

# Returns the next state if one can be reached from the given state and symbol, or None otherwise
    def getTransition(self, state, symbol):
        if self.transFunction.has_key((state,symbol)):
            return self.transFunction[(state,symbol)]
        else:
            return None

# Returns true if the string can be recognized by the DFSA, false otherwise
    def isRecognized(self,string):
        return self.isRecognizedFromState(self.startState,string):

# Returns true if the current string can be recognized by the DFSA starting at curState, false otherwise
    def isRecognizedFromState(self,curState,curString):
        firstSymbol = curString[0]
        stringTail = curString[1:len(curString)]
        nextState = self.getTransition(curState,firstSymbol)
        if nextState == None:
            return False
        elif nextState in self.endStates:
            return True
        else:
            return self.isRecognizedFromState(nextState,stringTail)    # Recursive call
```



```
class DFST(FSA):
    ....

    ....    # Initialisation functions

    # Returns the next state and output symbol if reachable from state+symbol, return None otherwise
    def getTransition(self, state, symbol):
        if self.transFunction.has_key((state,symbol)):
            return self.transFunction[(state,symbol)]
        else:
            return None

    # Returns the output string if the input string can be transduced by the DFST, or None otherwise
    def transduce(self,string):
        return self.transduceFromState(self.startState,string)

    # Returns output string if the input can be transduced starting at curState, None otherwise
    def transduceFromState(self,curState,curString):
        firstSymbol = curString[0]
        stringTail = curString[1:len(curString)]
        transduction = self.getTransition(curState,firstSymbol)
        if transduction==None:
            return None
        else:
            nextState = transduction[0]
            output = transduction[1]
            if nextState in self.endStates:
                return output
            else:
                nextResult = self.transduceFromState(nextState,stringTail) # Recursive call
                if nextResult != None:
                    return output+nextResult # Concatenate the output string
                else:
                    return None
```



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Then extend it to a finite-state transducer that can translate a surface form to lemma
+ POS, or between upper and lower case.

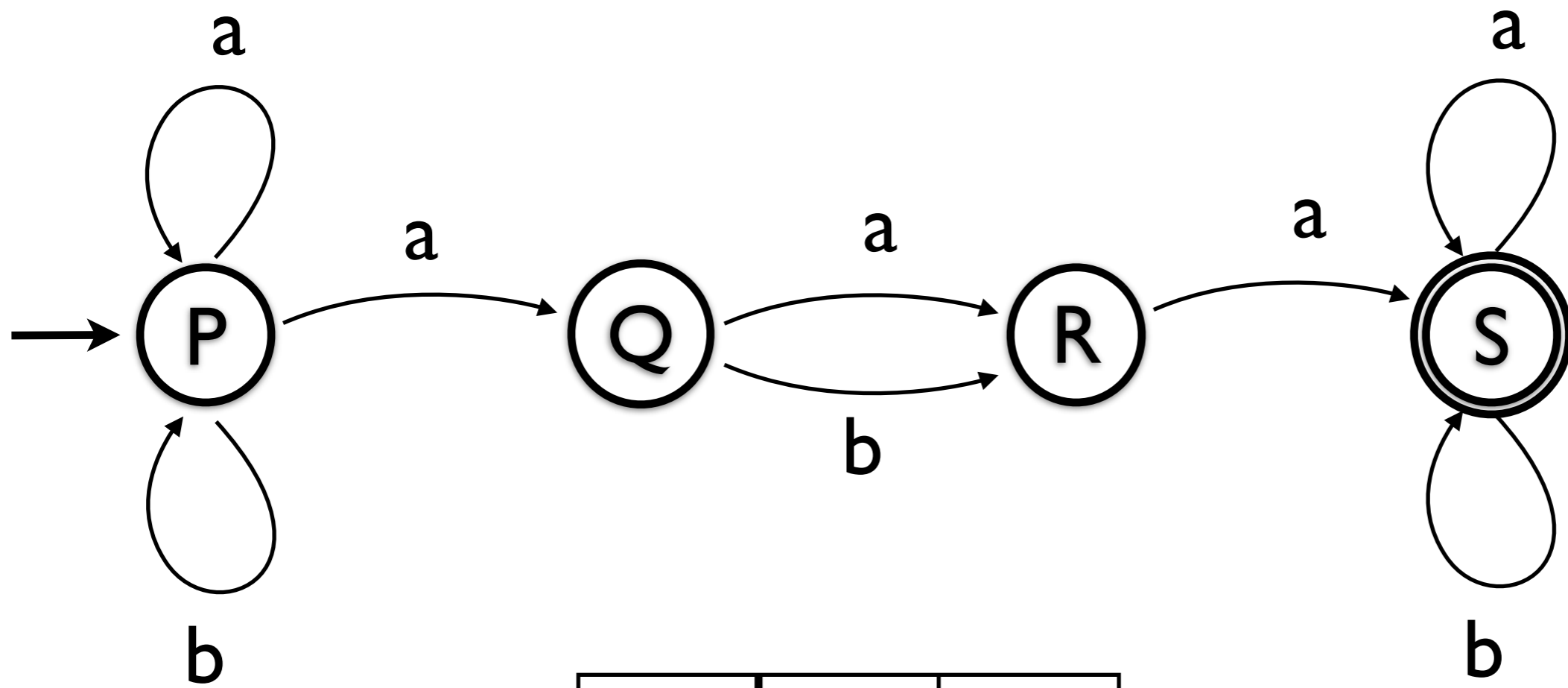
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p	p,q	p
q	r	r
r	s	-
s	s	s

3. Construct an NFSA with ϵ -transitions from the regular expression $(alb)ca^*$,
according to the construction principled for union, concatenation and kleene star.
Then transform the NFSA to a DFSA by subset construction.
4. Find a minimal DFSA for the FSA $A = \langle \{A, \dots, E\}, \{0, 1\}, \delta_3, A, \{C, D, E\} \rangle$
(using the table filling algorithm by propagation).

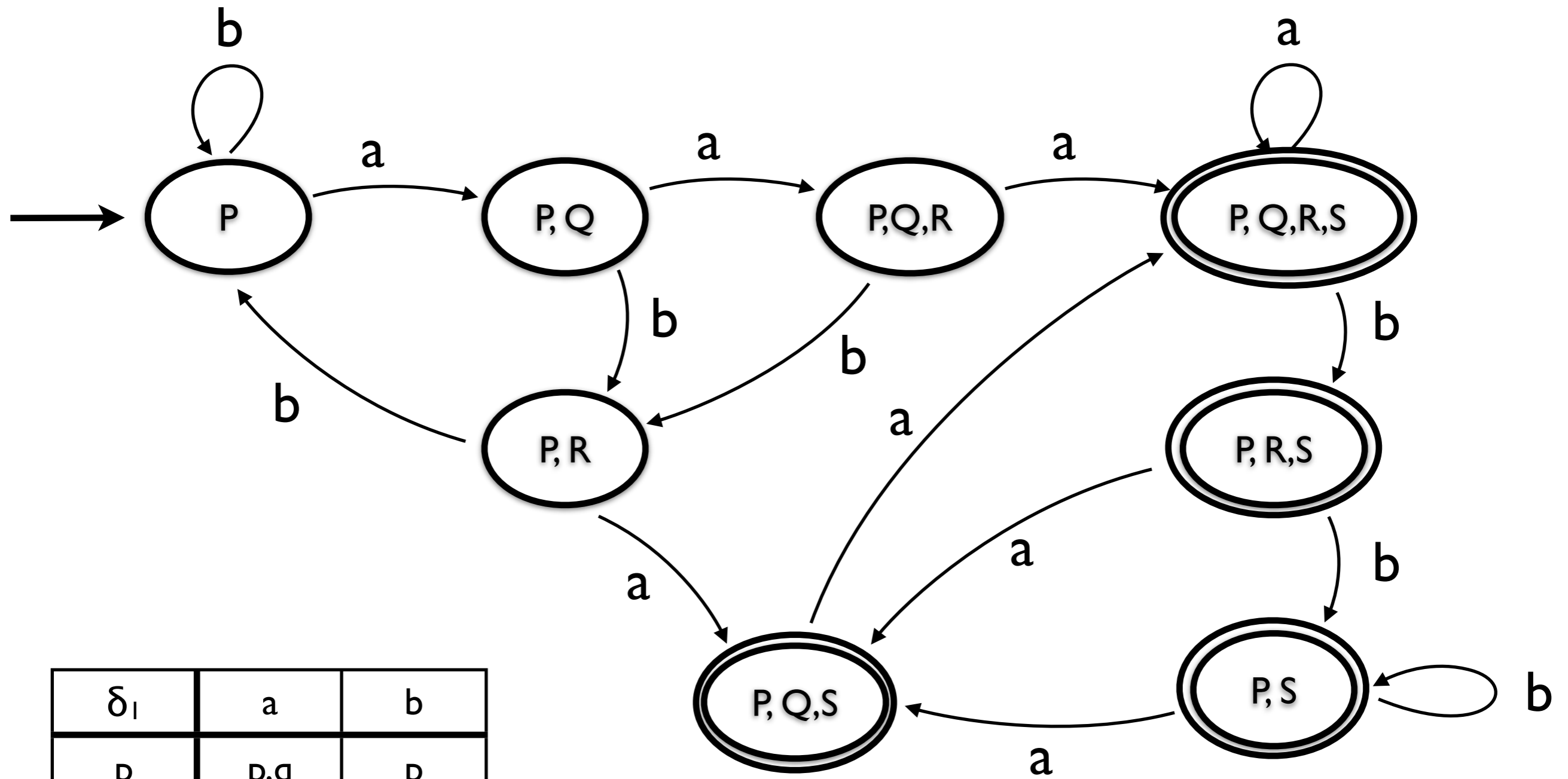
δ_3	0	1
A	B	D
B	B	C
C	D	E
D	D	E
E	C	-

Determinization



δ_i	a	b
p	p,q	p
q	r	r
r	s	-
s	s	s

Determinization



δ_i	a	b
p	p,q	p
q	r	r
r	s	-
s	s	s



1. Write a program for acceptance of a string by a DFSA.
Then extend it to a finite-state transducer that can translate a surface form to lemma + POS, or between upper and lower case.

2. Determinize the following NFSA by subset construction.
 $A_1 = \langle \{p, q, r, s\}, \{a, b\}, \delta_1, p, \{s\} \rangle$ where δ_1 is as follows:

δ_1	a	b
p	p,q	p
q	r	r
r	s	-
s	s	s

3. Construct an NFSA with ϵ -transitions from the regular expression $(alb)ca^*$, according to the construction principled for union, concatenation and kleene star. Then transform the NFSA to a DFSA by subset construction.

4. Find a minimal DFSA for the FSA $A = \langle \{A, \dots, E\}, \{0, 1\}, \delta_3, A, \{C, D, E\} \rangle$ (using the table filling algorithm by propagation).

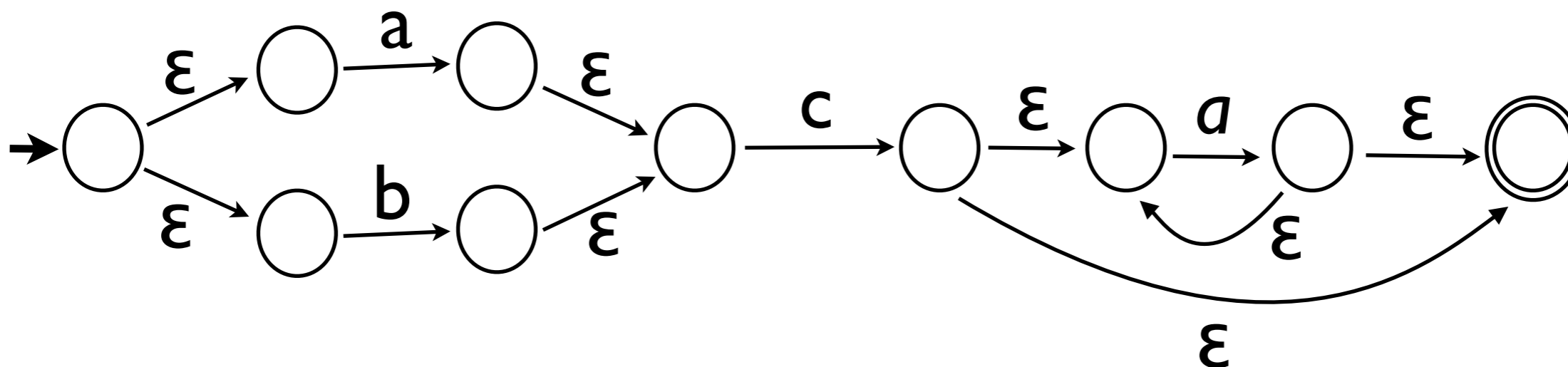
δ_3	0	1
A	B	D
B	B	C
C	D	E
D	D	E
E	C	-



The regular expression:
(a|b)ca*

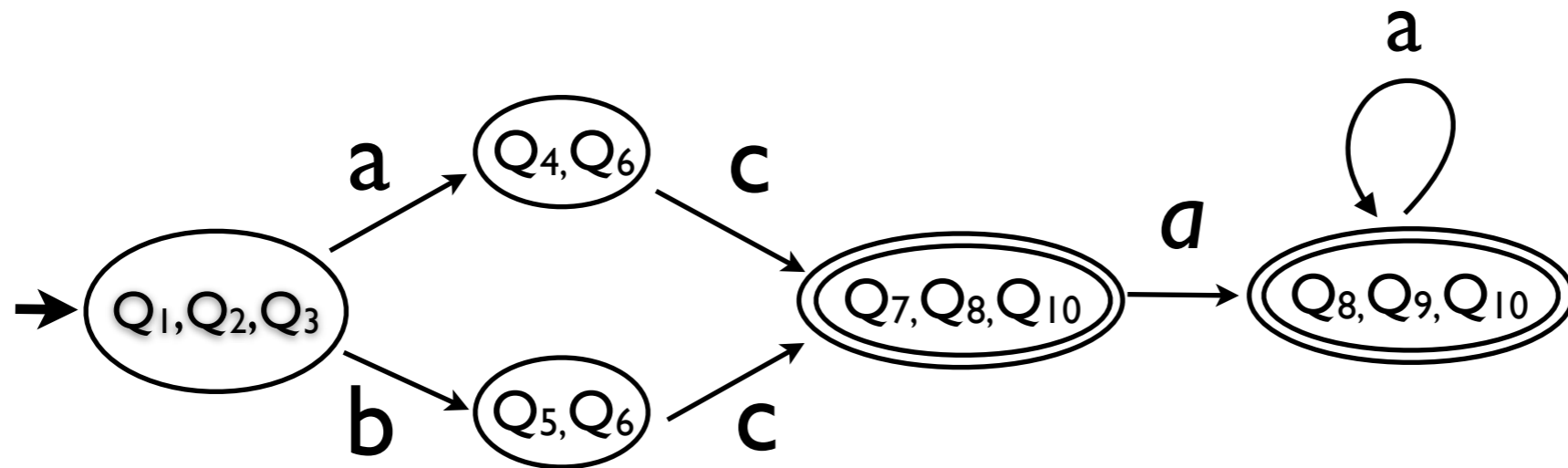
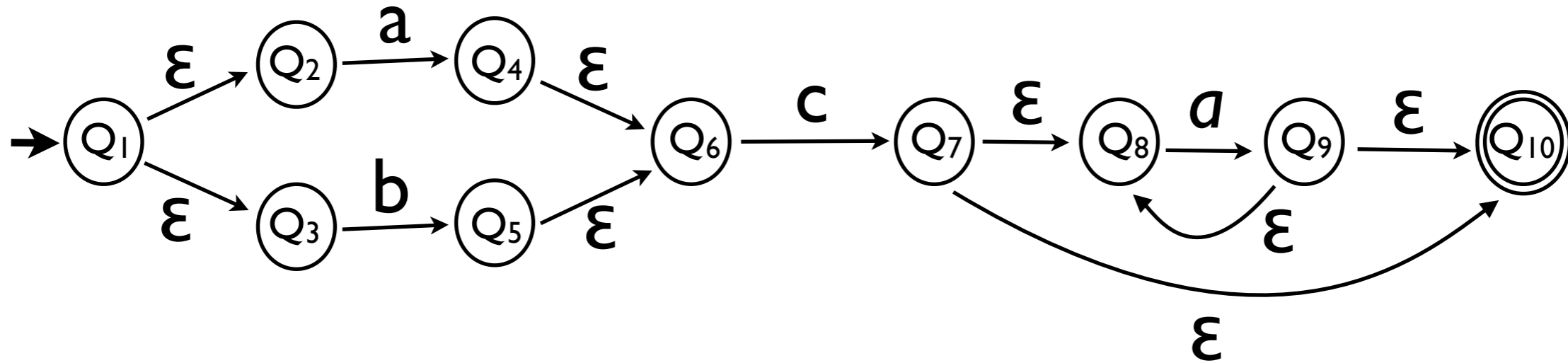
union of two FSA

Kleene Star over FSA

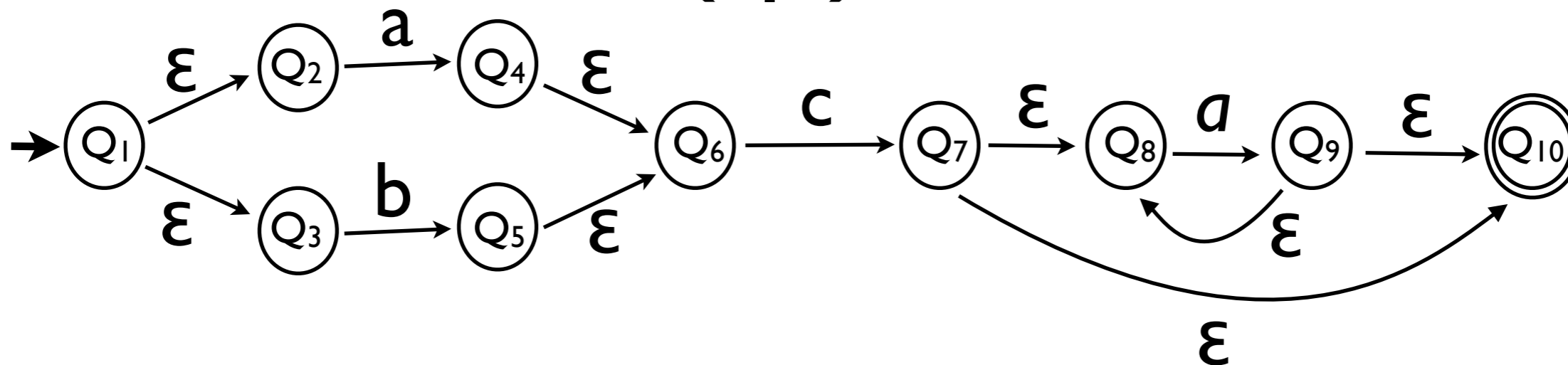


But this is a non-deterministic FSA...

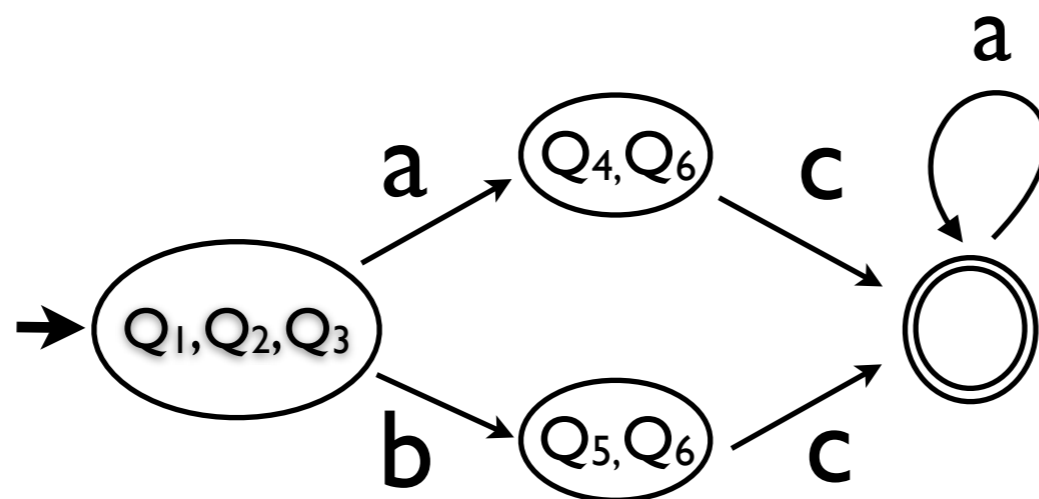
The regular expression:
(a|b)ca*



The regular expression:
(a|b)ca*



Or even simpler, by minimisation:





1. Write a program for acceptance of a string by a DFSA.
Then extend it to a finite-state transducer that can translate a surface form to lemma + POS, or between upper and lower case.

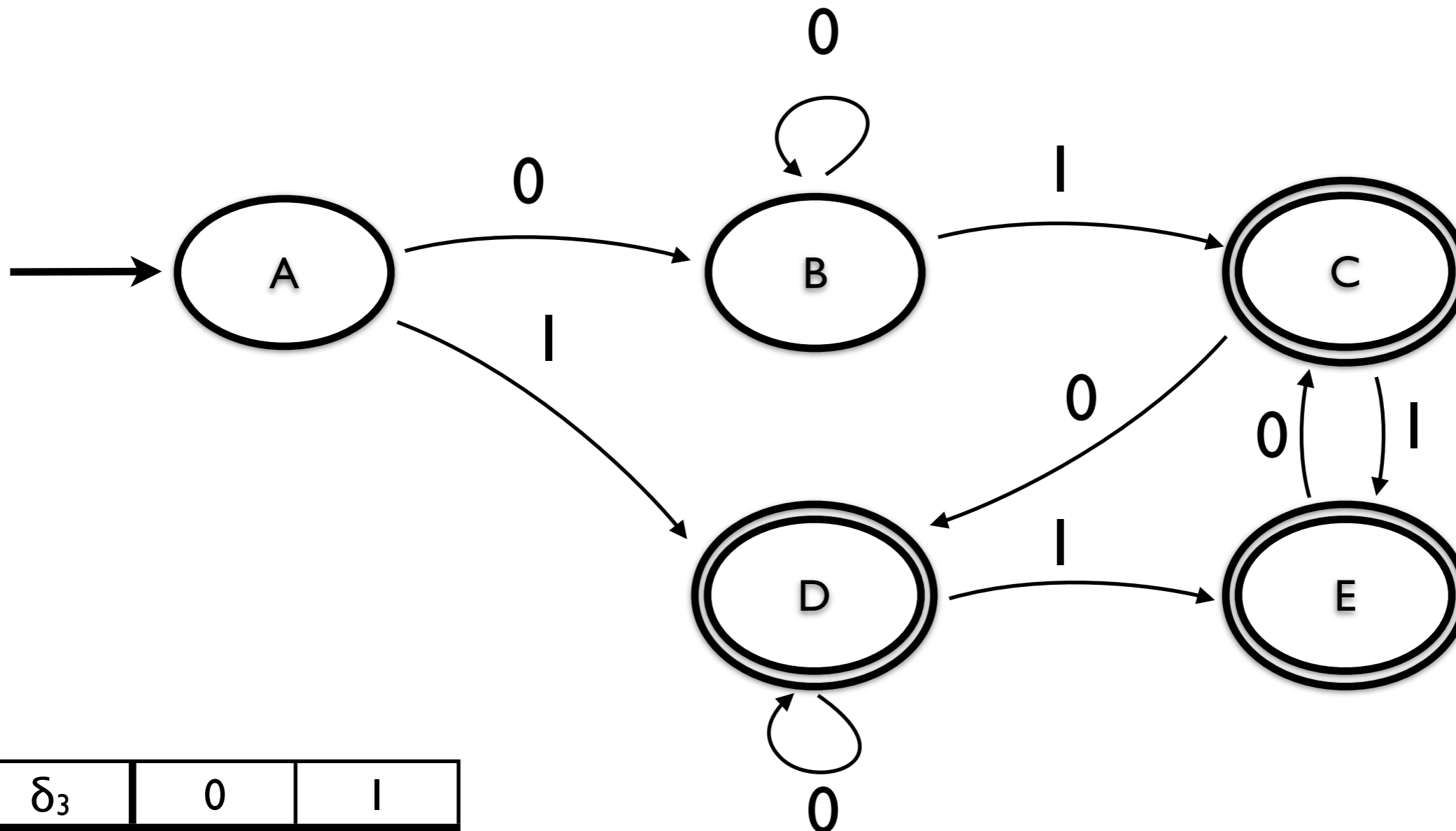
2. Determinize the following NFSA by subset construction.
 $A_1 = \langle \{p, q, r, s\}, \{a, b\}, \delta_1, p, \{s\} \rangle$ where δ_1 is as follows:

δ_1	a	b
p	p,q	p
q	r	r
r	s	-
s	s	s

3. Construct an NFSA with ϵ -transitions from the regular expression $(alb)ca^*$, according to the construction principled for union, concatenation and kleene star.
Then transform the NFSA to a DFSA by subset construction.

4. Find a minimal DFSA for the FSA $A = \langle \{A, \dots, E\}, \{0, 1\}, \delta_3, A, \{C, D, E\} \rangle$
(using the table filling algorithm by propagation).

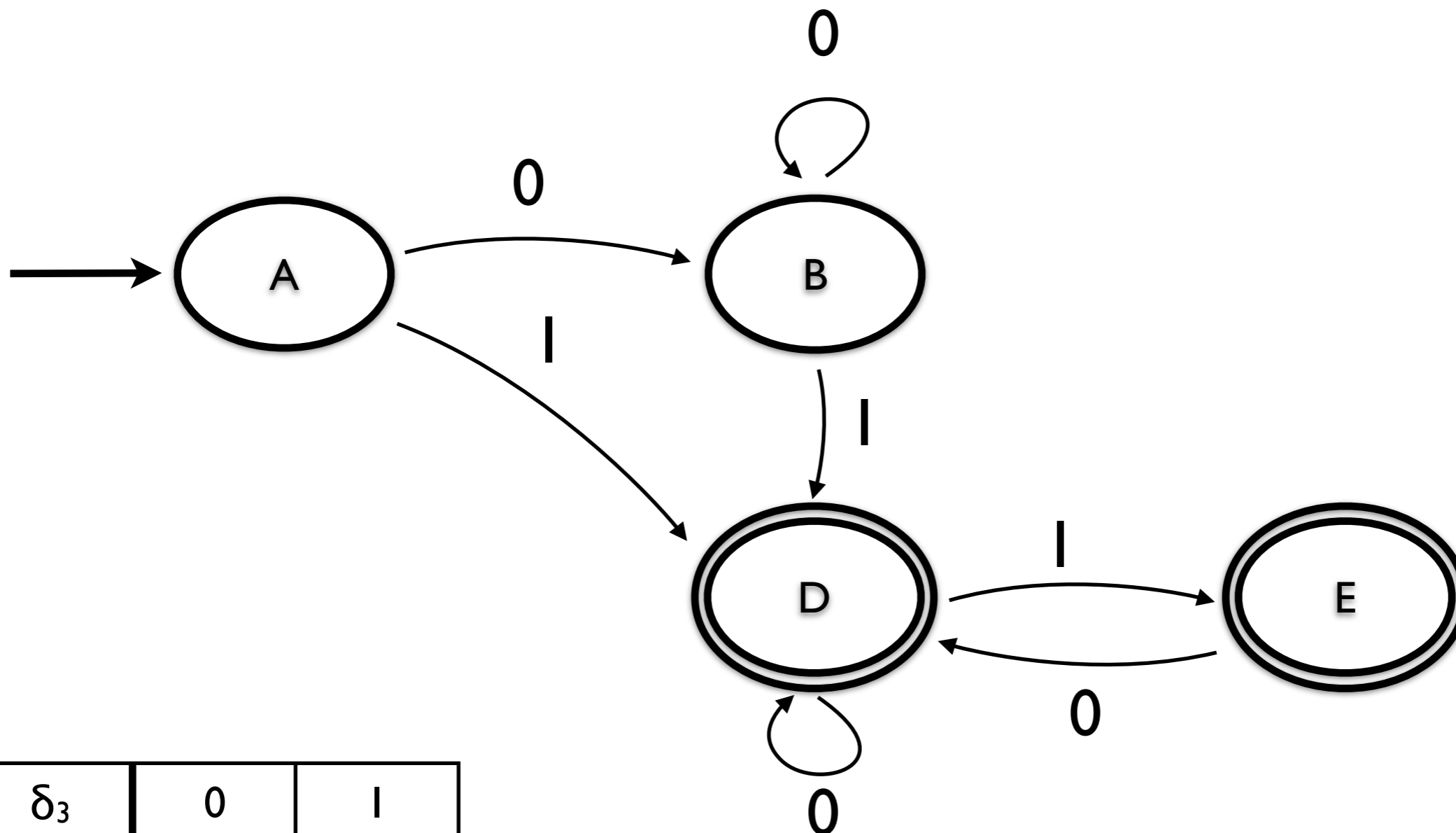
δ_3	0	1
A	B	D
B	B	C
C	D	E
D	D	E
E	C	-



δ_3	0	1
A	B	D
B	B	C
C	D	E
D	D	E
E	C	

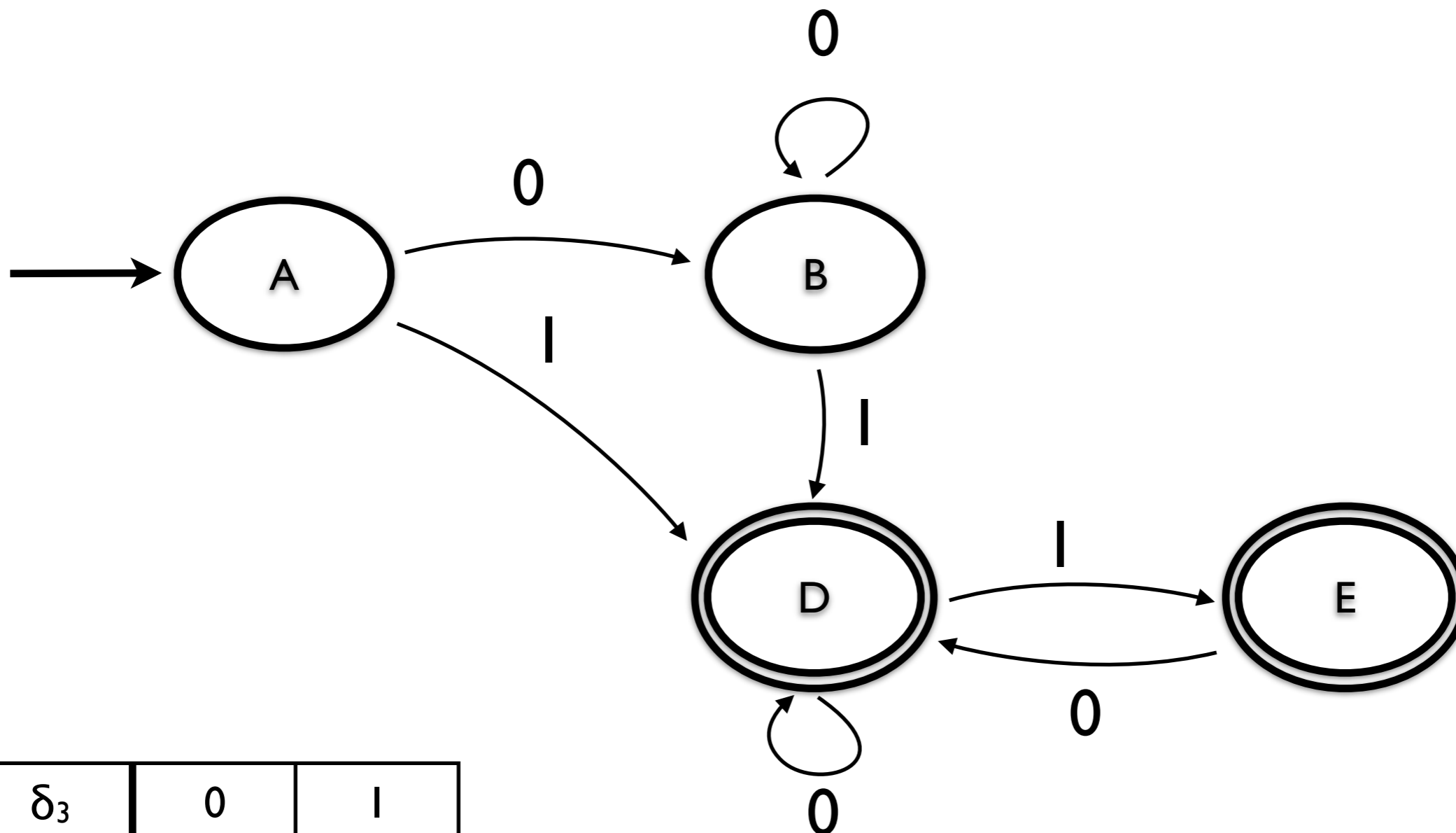
C and D have the same right language:

$0^*|(0^*(10)^*)^*|(0^*(10)^*1)^*$



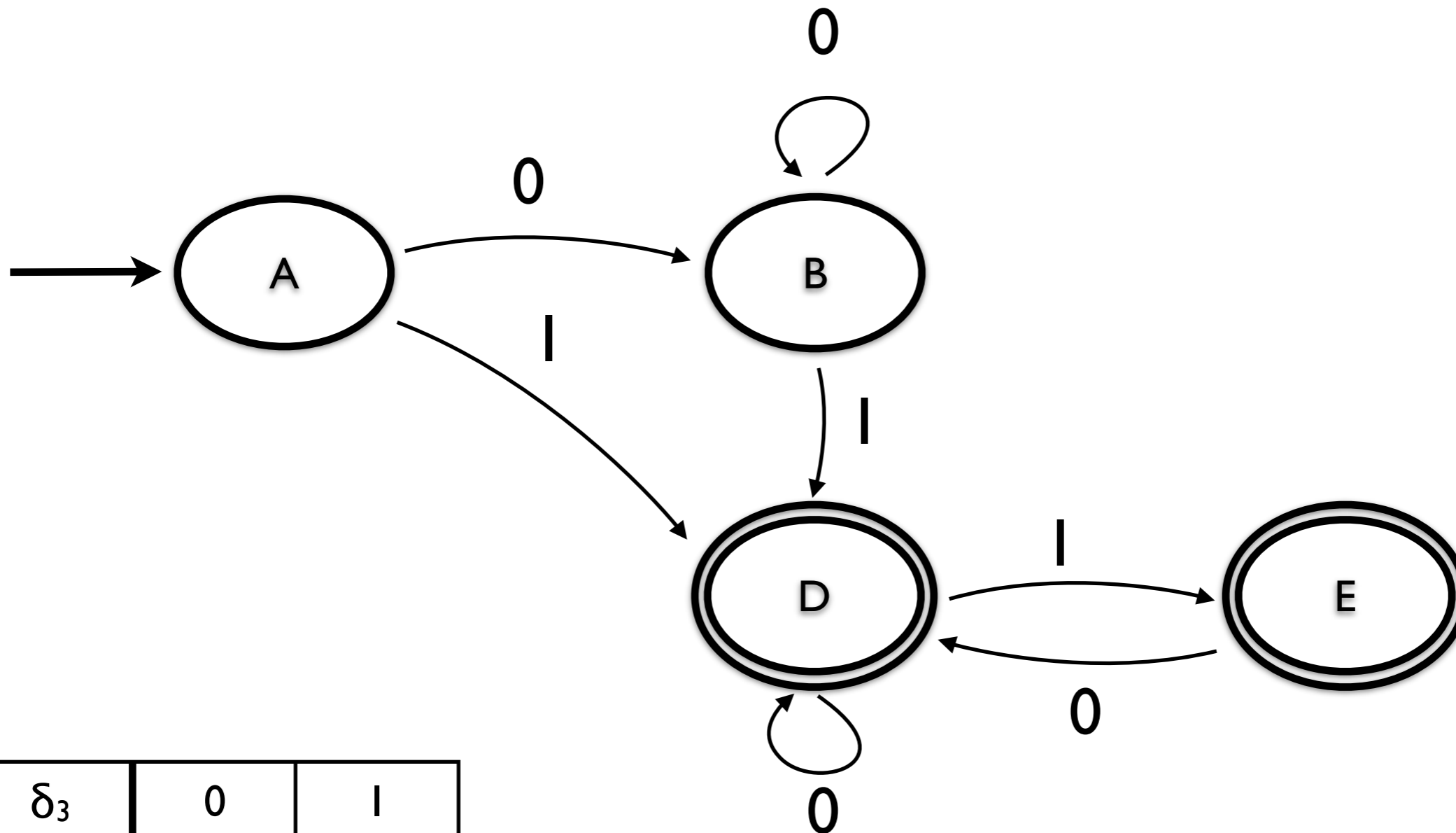
δ_3	0	1
A	B	D
B	B	D
D	D	E
E	D	

We can thus remove C and redirect all its incoming edges to D



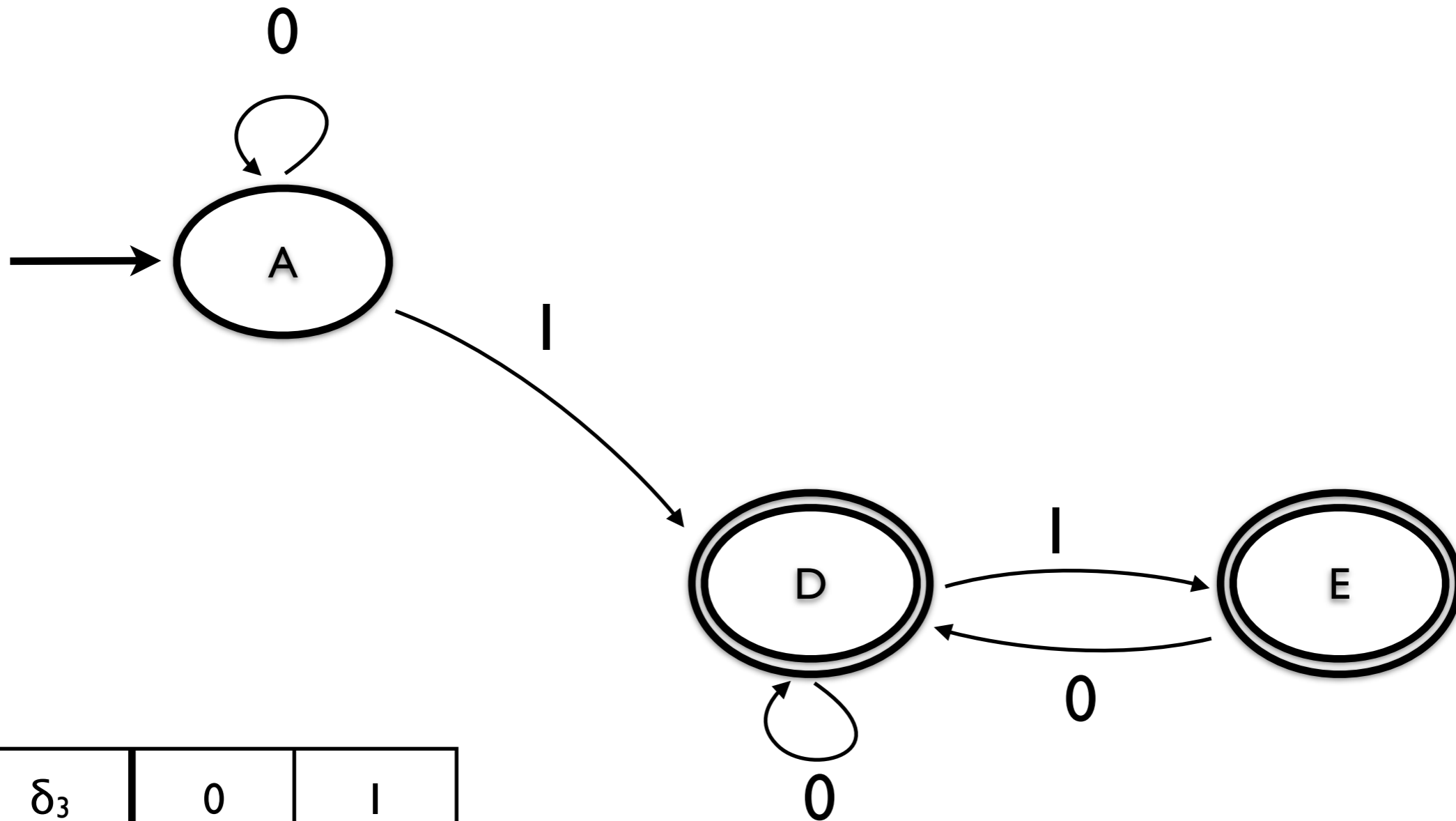
δ_3	0	1
A	B	D
B	B	D
D	D	E
E	D	

We can thus remove C and redirect all its incoming edges to D



δ_3	0	1
A	B	D
B	B	D
D	D	E
E	D	

A and B also have the same right language:
 0^*1^+ + right language of D



δ_3	0	I
A	A	D
D	D	E
E	D	

And we're done!



- Short recap‘
- Correction of the exercises
- **Advanced topics:**
 - ~~Finite-state transducers for morphological parsing~~
 - **Weighted finite-state automata**
 - **Cascading finite-state transducers**



(Following slides adapted on existing slides by Fei Xia)

- Probabilistic finite-state automata is a generalisation of classical finite-state automata
- Also called: Weighted FSA
- Allow us to provide an explicit account the **uncertainty** of our observations / of our model
- Plus, probabilistic models can often be combined with machine learning techniques to automatically *train* the model from data
 - instead of specifying it manually



- In a probabilistic finite-state automata, each arc is associated with a probability.
- The probability of a path is the multiplication of the arcs on the path.
- The probability of a string x is the sum of the probabilities of all the paths for x .
- Possible tasks :
 - Given a string x , find the best path for x .
 - Given a string x , find the probability of x in a PFA.
 - Find the string with the highest probability in a PFA
 - etc.



- A PFA is
 - Q : a finite set of N states
 - Σ : a finite set of input symbols
 - $I: Q \rightarrow \mathbb{R}^+$ (initial-state **probabilities**)
 - $F: Q \rightarrow \mathbb{R}^+$ (final-state **probabilities**)
 - $\delta \subseteq Q \times (\Sigma \cup \{\varepsilon\}) \times Q$: the transition relation between states.
 - $P: \delta \rightarrow \mathbb{R}^+$ (transition probabilities)



Normalisation constraints on function:

$$\sum_{q \in Q} I(q) = 1$$

$$\forall q \in Q : F(q) + \sum_{a \in \Sigma \wedge q' \in Q} P(q, a, q') = 1$$

Probability of a string:

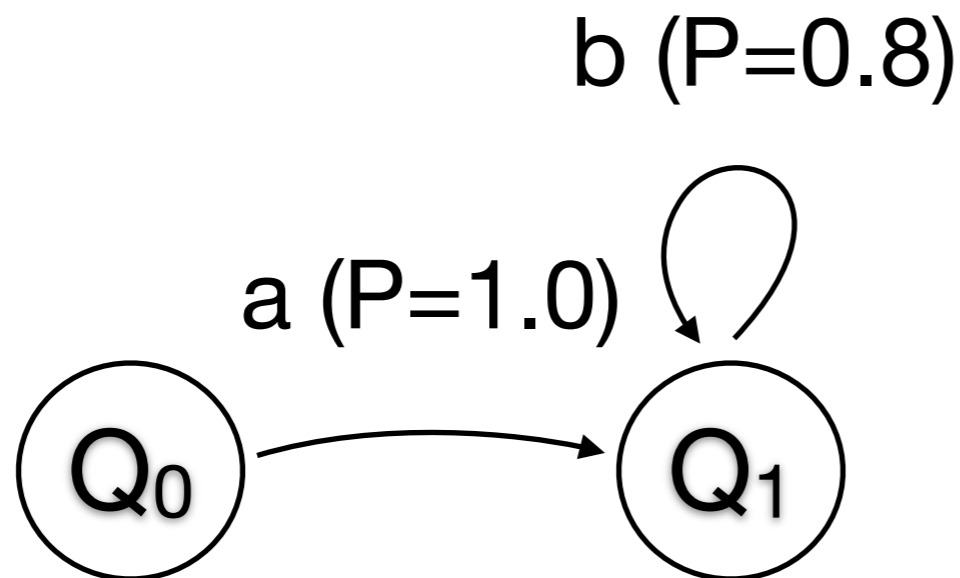
$$P(w_{1,n}, q_{1,n+1}) = I(q_1) \times F(q_{n+1}) \times \prod_{i=1}^n P(q_i, q_i, q_{i+1})$$

$$P(w_1, n) = \sum_{q_{1,n+1}} P(w_{1,n}, q_{1,n+1})$$



Let A be a PFSA.

- Def: $P(x \mid A)$ = the sum of all the valid paths for x in A .
- Def: a **valid** path in A is a path for some string x with probability greater than 0.
- Def: A is called **consistent** if $\sum_x P(x \mid A) = 1$
- Def: a state of a PFSA is **useful** if it appears in at least one valid path.
- Proposition: a PFSA is consistent if all its states are useful.



$$I(Q_0) = 1.0$$

$$I(Q_1) = 0.0$$

$$F(Q_0) = 0.0$$

$$F(Q_1) = 0.2$$

$$P(ab^n) = 0.2 * 0.8^n$$

And if we add all possible paths:

$$\sum_x P(x) = \sum_{n=0}^{\infty} P(ab^n) = 0.2 * \sum_{n=0}^{\infty} 0.8^n = 0.2 * \frac{0.8^0}{1-0.8} = 1$$

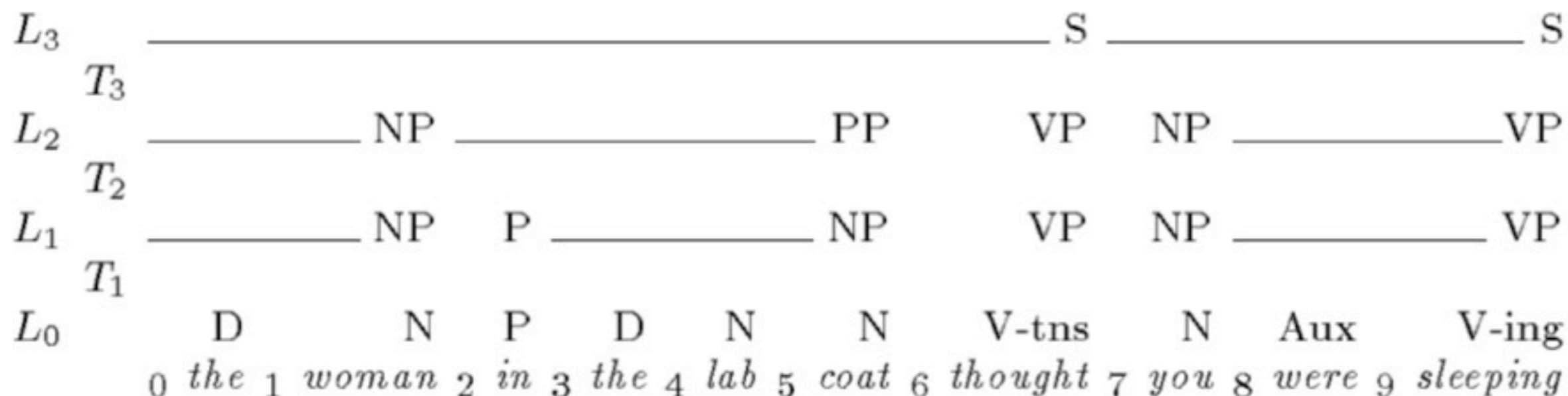


- A Markov chain is a special case of probabilistic FSA in which the input sequence uniquely determines which states the automaton will go through
 - only useful for assigning probabilities to unambiguous sequences
 - Ex: N-gram models
- Probabilistic FSA can be shown to be equivalent to Hidden Markov Models
 - Same expressivity, but different way of representing things



- “*Partial Parsing via Finite-state cascades*,” by Steven Abney 1996
- Different levels L_i
- For each level exists a deterministic FSA T_i
- Output of one level automaton is input to the next one:
- Level recognizer T_i has L_{i-1} as input, and outputs symbols on level L_i
- Input elements that can't be recognized by an automaton are simply ignored and passed over to the next level
- Advantages: efficient, robust (partial parsing)
- Limitations: cannot model complex linguistic phenomena

Cascaded finite-state transducers



$$T_1 : \left\{ \begin{array}{l} NP \rightarrow D? N+ \\ VP \rightarrow V\text{-tns} \mid Aux V\text{-ing} \end{array} \right\}$$

$$T_2 : \{ PP \rightarrow P NP \}$$

$$T_3 : \{ S \rightarrow PP^* NP PP^* VP PP^* \}$$